

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

MAR 30 2001

(Use several sheets if necessary)

APPLICANT:

James Lipton

FILING DATE:

11/01/2000

GROUP:

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
W	AA	09/533,341		Catania Anna P. et al.			3/23/00
W	AB	5,028,592	7/02/91	Lipton, J.M.,			8/5/88
W	AC	5,157,023	10/20/92	Lipton, J.M.,			3/21/91
W	AD	09/535,066		Lipton, J.M.,			3/23/00
W	AE	60/200,287		Lipton, J.M.,			4/28/00
W	AF	09/774,282		Lipton, J.M.,			1/29/01

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
W	AG	PCT/US00/07846	323/00	WIPO			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

W	AH	Airaghi, L., et. al., "Elevated concentrations of plasma $\alpha$ -MSH are associated with reduced disease progression in HIV-infected patients," <i>J. Lab. Clin. Med.</i> 133(3) 309-315 (1999).					
W	AI	Baker, M., et. al., "The Relationship between Interleukin-6 and Herpes Simplex Virus Type-1: Implications for Behavior and Immunopathology," <i>Brain Behav. Immun.</i> 13(3):201-11 (1999)					
W	AJ	Barcellini, W., et. al., "Inhibitory Influences of $\alpha$ -MSH peptides on HIV-1 expression in Monocytic cells," 12 <sup>th</sup> World AIDS Conference Geneva, Abstract No. 60685, June 28-July 3, 1998.					
W	AK	Bhattacharya A., et. al., "Effect of Cyclic AMP on RNA and Protein Synthesis in <i>Candida albicans</i> ," <i>Biochem. Biophys. Res. Commun.</i> , 77: 1438-44 (1977)					
W	AL	Bickers, D., Sun-Induced Disorders, <i>Emergency Medicine Clinics of North America</i> , 3(4): 659-663, 660 (1985).					
W	AM	Capsoni, F., et. al., "Effect of Corticosteroids on Neutrophil Function: Inhibition of Antibody-dependent Cell-Mediated Cytotoxicity (ADCC)," <i>J. Immunopharmacol.</i> 5, 217-30 (1983)					
W	AN	Cartledge, J.D., et. al., "Clinically Significant Azole Cross-Resistance in <i>Candida</i> Isolates from HIV-Positive Patients with Oral Candidosis," <i>AIDS</i> 11:1839-44 (1997).					
W	AO	Catania, A., et. al., " $\alpha$ -Melanocyte Stimulating Hormone in the Modulation of Host Reactions," <i>Endocr. Rev.</i> 14, 564-576 (1993).					
W	AP	Catania, A., et. al., "Melanocortin Peptides Inhibit Production of Proinflammatory Cytokines in Blood of HIV-Infected Patients," <i>Peptides</i> , 19(6): 1099-1104 (1998)					
W	AQ	Catania, A., et. al., "The Neuropeptide $\alpha$ -MSH in HIV Infection and Other Conditions in Humans," <i>Ann. N.Y. Acad. Sci.</i> 840: 848-856 (1998).					
W	AR	Catania, A.; et. al., "The Neuropeptide $\alpha$ -MSH has Specific Receptors on Neutrophils and Reduces Chemotaxis in <i>Vitro</i> ," <i>Peptides</i> 17, 675-679 (1996).					

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AS	Cavello, J. and Deleo, V., Sunburn, <i>Dermatologic Clinics</i> , 4(2): 181-187, 181 (1986).
AT	Ceriani, G., et. al., "Central Neurogenic Antiinflammatory Action of $\alpha$ -MSH: Modulation of Peripheral Inflammation Induced by Cytokines and other Mediators of Inflammation," <i>Neuroendocrinology</i> , 59:138-143 (1994)
AU	Csata, M. et. al., "Enhancement of Candida albicans killing activity of separated human epidermal cells by alpha-melanocyte stimulating hormone," <i>British Journal of Dermatology</i> , 121(1) 145-147 (1989).
AV	Cutull, M. et. al., "Antimicrobial effects of $\alpha$ -MSH peptides," <i>Journal of Leukocyte Biology</i> 67:233-239 (2000).
AW	Deeter, L.B., et. al., Antipyretic Properties of Centrally Administered $\alpha$ -MSH Fragments in the Rabbit, <i>Peptides</i> 9, 1285-1288 (1989).
AX	Delgado, R., et. al., "Melanocortin peptides inhibit production of proinflammatory cytokines and nitric oxide by activated microglia," <i>Journal of Leukocyte Biology</i> , 63: 740-745 (1998)
AY	Domk-Optiz, I., et. al., "Stimulation of Macrophages by Endotoxin Results in the Reactivation of a Persistent Herpes Simplex Virus Infection," <i>Scand J. Immunol.</i> 32(2):69-75 (1990)
AZ	Eberle, A. and Schwyzer, R., "Hormone-Receptor Interactions, <i>Clinical Endocrinology</i> 5, Suppl., 41s-48s (1976)
BA	Eberle, A.N., The Melanotrophins, <i>Karger, Basel, Switzerland</i> (1988).
BB	Fauci, A.S., "Host Factors in the Pathogenesis of HIV-induced Disease," <i>Nature</i> 384: 529 (1996)
BC	Fitzpatrick, et al., Acute Effects of Ultraviolet Radiation on the Skin: The Sunburn Reaction, <i>Dermatology in General Medicine</i> , 4th Edition, 1651-1655, 1651 (1993).
BD	Foster, J. Sunburn, <i>eMedicine - Online Medical Reference Textbook</i> , (last modified may 1, 2000), < <a href="http://emedicine.com/emerg/topic798.htm">http://emedicine.com/emerg/topic798.htm</a> .
BE	Fox, J. A., et.al., "Immunoreactive $\alpha$ -Melanocyte Stimulating Hormone, Its Distribution in the Gastrointestinal Tract of Intact and Hypophysectomized Rats," <i>Life. Sci.</i> 28, 2127-2132 (1981).
BF	Gow, N.A., "Germ Tube Growth of <i>Candida albicans</i> ," <i>Curr. Topics Med. Myco.</i> 8, 43-55 (1997).
BG	Hart, D.A., et. al., " <i>Staphylococcus Aureus</i> Strains Differ in Their in Vitro Responsiveness to Human Urokinase: Evidence that Methicillin-Resistant Strains are Predominantly Nonresponsive to the Growth-Enhancing Effects of Urokinase," <i>Can. J. Microbiol.</i> 42: 1024-31 (1966).
BH	Hiltz, M. E., et. al., "Anti-inflammatory Activity of a COOH-terminal Fragment of the Neuropeptide $\alpha$ -MSH," <i>FASEB J.</i> 3, 2282-2284 (1989).
BI	Hiltz, M.E., "Anti-inflammatory Activity of $\alpha$ -MSH (11-13) Analogs: Influences of Alterations in Stereochemistry," <i>Peptides</i> 12, 767-71 (1991).
BJ	Hiltz, M.E., et. al., "Alpha-MSH Peptides Inhibit Acute Inflammation and Contact Sensitivity," <i>Peptides</i> , 11:979-982 (1990)
BK	Hiltz, M.E., et. al., " $\alpha$ -MSH Peptides Inhibit Acute Inflammation Induced in Mice by rIL-1 $\beta$ , rIL-6, rTNF- $\alpha$ and endogenous pyrogen but not that cause by LTB <sub>4</sub> , PAF and rIL-8," <i>Cytokine</i> 4(4):320-328 (1992)
BL	Holdeman, M., et. al., "Antipyretic Activity of a Potent $\alpha$ -MSH Analog," <i>Peptides</i> 6, 273-5 (1985).
BM	Lichtensteiger, W., and Monnet, F., "Differential Response of Dopamine Neurons to $\alpha$ -Melanotropin and Analogues in Relation to Their Endocrine and Behavioral Potency," <i>Life Sci.</i> 25:2079-2087 (1979)
BN	Lipton, J.M., et.al., "Anti-inflammatory Effects of the Neuropeptide $\alpha$ -MSH in Acute Chronic and Systemic inflammation," <i>Ann. N.Y. Acad. Sci.</i> 741, 137-148 (1994).

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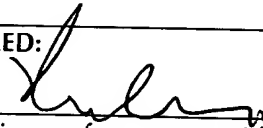
FORM PTO-1449	MAR 30 2001	ATTY. DOC. NO. 256/019US	SERIAL NO. 09/704,327
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT: James Lipton	
(Use several sheets if necessary)		FILING DATE: 11/01/2000	GROUP:

BO	Lipton, J.M., et. al., "Anti-inflammatory Actions of the Neuroimmunomodulator $\alpha$ -MSH," <i>Immunol. Today</i> 18, 140-145 (1997).
BP	Lipton, J.M., "Neuropeptide $\alpha$ -Melanocyte-Stimulating Hormone in Control of Fever, the Acute Phase Response, and Inflammation," <i>Neuroimmune Networks: Physiology and Diseases</i> , (Alan R. Liss, Inc. 1989) pp. 243-250
BQ	Lipton, J.M., Modulation of Host Defense by the Neuropeptide $\alpha$ -MSH," <i>The Yale Journal of Biology and Medicine</i> 63: 173-182 (1990)
BR	Luger, T.A., et. al., "Production of Immunosuppressing Melanotropins by Human Keratinocytes," <i>Ann. N.Y. Acad. Sci.</i> 680: 567-570 (1993)
BS	Lyson, K., et. al., "Binding of Anti-Inflammatory $\alpha$ -Melanocyte-Stimulating Hormone Peptides and Proinflammatory Cytokines to Receptors on Melanoma Cells," <i>Neuroimmunomodulation</i> , 1:121-126 (1994)
BT	Macaluso, A., et. al., "Antiinflammatory Influences of $\alpha$ -MSH molecules: Central Neurogenic and Peripheral Actions," <i>The Journal of Neuroscience</i> , 14(4): 2377-2382 (1994)
BU	Mayhall, Ten Home Remedies for Sunburn, <i>Seasonal Health</i> , (July 14, 2000), < <a href="http://drkoop.com/wellness/seasonal/summer/sunburn.html">http://drkoop.com/wellness/seasonal/summer/sunburn.html</a> >.
BV	Mugridge, K.G., et. al., " $\alpha$ -Melanocyte-Stimulating Hormone reduces interleukin-1 $\beta$ effects on rat stomach preparations possibly through interference with type I receptor," <i>European Journal of Pharmacology</i> , 197: 151-155 (1991)
BW	Noisakran S., e. al., "Lymphocytes Delay Kinetics of HSV-1 Reactivation from in vitro Explants of Latent Infected Trigeminal Ganglia," <i>J. Neuroimmunol.</i> 95(1-2):126-35 (1999)
BX	Patel, A., et. al., "Herpes Simplex Type 1 Induction of Persistent NF- $\kappa$ B Nuclear Translocation Increases the Efficiency of Virus Replication," <i>Virology</i> 247(2):212-22 (1998)
BY	Potts, Sunlight, Sunburn, and Sunscreens, <i>Postgrad. med.</i> , 87:52-61 (1990).
BZ	Rajora, N., et.al., " $\alpha$ -MSH Modulates Local and Circulating tumor Necrosis Factor $\alpha$ in Experimental Brain Inflammation," <i>J. Neuroosci</i> , 17, 2181-2186 (1997).
CA	Rajora, N., et. al., " $\alpha$ -MSH Production Receptors and Influence on Neopterin, in a Human Monocyte/macrophage Cell Line," <i>J. Leukoc. Biol.</i> 59, 248-253 (1996).
CB	Richards, D.B., et. al., "Effect of $\alpha$ -MSH (11-13) (lysine-proline-valine) on Fever in the Rabbit," <i>Peptides</i> 5, 815-817 (1984).
CC	<i>Robbins Pathologic Basis of Disease 5<sup>th</sup> ed.</i> , Saunders Co., Philadelphia (1994) p. 335-337, 354-355, 1008, 1037-1038.
CD	Star, R.A., et. al., "Evidence of Autocrine Modulation of Macrophage Nitric Oxide Synthase by $\alpha$ -MSH," <i>Proc. Nat'l. Acad. Sci. (USA)</i> 92, 8015-8020 (1995).
CE	Stevens, D.L., "Could Nonsteroidal Anti-inflammatory Drugs (NSAIDs) Enhance Progression of Bacterial Infections to Toxic Shock Syndrome?," <i>Clin. Infect. Dis.</i> 21, 977-80 (1997)
CF	Szalay, K.S., et. al., "Structure-activity studies with ACTH/ $\alpha$ -MSH fragments on corticosteroid secretion of isolated zona glomerulosa and fasciculata cells," <i>Regulatory Peptides</i> , 11: 187-192 (1985)
CG	Thody, A.J., et.al., "MSH Peptides are Present in Mammalian Skin," <i>Peptides</i> 4, 813-815 (1983).
CH	Uehara, Y., et. al., "Carboxyl-terminal tripeptide of $\alpha$ -Melanocyte-Stimulating Hormone antagonizes interleukin-1-induced anorexia," <i>European Journal of Pharmacology</i> , 220: 119-122 (1992)
CI	van Nispen, J.W. and Greven, H.M., "Structure-Activity Relationships of Peptides Derived From ACTH, $\beta$ -LPH and MSH With Regard To Avoidance Behavior in Rats," <i>Pharmac. Ther.</i> 16: 67-102 (1982)
CJ	Walev, I., et.al., "Enhancement by TNF-alpha of Reactivation and Replication of Latent Herpes Simplex Virus from Trigeminal Ganglia of Mice," <i>Arch Virol.</i> 140(6):987-92 (1995)

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<b>FORM PTO-1449</b> <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	ATTY. DOC. NO. 256/019US		SERIAL NO. 09/704,327
	APPLICANT: James Lipton		
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N	CK	Wenzel, R.P. and Pfaller, M.A., "Candida Species: Emerging Hospital Bloodstream Pathogens," <i>Infect. Control. Hosp. Epidemiol.</i> 12: 523-4 (1991)
a	CL	Wong, K.Y., et. al., "A Potential Mechanism of Local Anti-inflammatory Action of Alpha-Melanocyte-Stimulating Hormone within the Brain: Modulation of Tumor Necrosis Factor-Alpha Production by Human Astrocytic Cells," <i>Neuroimmunomodulation</i> , 4:37-41 (1997)

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION YES NO
W	CM	09/828,272	Lipton, J.M.,			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
W						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

W	CN	Airaghi L, Lettino M, Manfredi MG, Lipton JM, Catania A. Endogenous cytokine antagonists during myocardial ischemia and thrombolytic therapy. Am. Heart J. 130: 204-211, 1995.
W	CO	Airaghi L, Garofalo L, Cutuli MG, Delgado R, Carlin A, Demitri MT, Badalamenti S, Graziani G, Lipton JM, Catania A. Plasma concentrations of $\alpha$ -melanocyte-stimulating hormone are elevated in patients on chronic haemodialysis. Nephrology Dialysis Transplantation 15:1212-1216, 2000.
W	CP	Baker, et al., "Principles of Ambulatory Medicine," Williams and Wilkins (1982)
W	CQ	Barcellini W, La Maestra L, Clerici G, Garofalo L, Brini AT, Lipton JM, Catania A. $\alpha$ -MSH peptides inhibit HIV-1 expression in chronically infected promonocytic U1 cells and in acutely infected monocytes. Journal of Leukocyte Biology 68:693-699, 2000.
W	CR	Catania A, Airaghi L, Lipton JM. $\alpha$ -MSH in normal human physiology and disease states. Trends Endocrinol. Metab. 11:304-308, 2000.
W	CS	Catania A, Delgado R, Airaghi L, Cutuli M, Garofalo L, Carlin A, Demitri MT, Lipton JM. $\alpha$ -MSH in systemic inflammation: central and peripheral actions. Annals of the New York Academy of Sciences, 885:183-187, 1999.
W	CT	Catania A, Grazia M, Manfredi MG, Airaghi L, Ceriani G, Gandino A, Lipton JM. Cytokine antagonists in infectious and inflammatory disorders. Annals of the New York Academy of Sciences 741: 149-161, 1994.
W	CU	Catania A, Lipton JM. $\alpha$ -melanocyte-stimulating hormone peptides in host responses: from basic evidence to human research. Annals of the New York Academy of Sciences 680: 412-423, 1993.
W	CV	Catania A, Cutuli M, Garofalo L, Airaghi L, Valenza F, Lipton JM, Gattinoni L. Plasma concentrations and anti-L-cytokine effects of $\alpha$ -melanocyte stimulating hormone in septic patients. Crit. Care Med. 28: 1403-1407, 2000.
W	CW	Catania A, Airaghi L, Motta P, Manfredi MG, Annoni G, Pettenati C, Brambilla F and Lipton JM. Cytokine antagonists in aged subjects and their relation with cellular immunity. Journal of Gerontology: Biological Sciences 52A: B93-97, 1997.
W	CX	Catania A, Manfredi MG, Airaghi L, Vivirito MC, Capetti A, Milazzo F, Lipton JM and Zanussi C. Plasma concentration of cytokine antagonists in patients with HIV infection. Neuroimmunomodulation 1: 42-49, 1994.
W	CY	Catania A, Airaghi L, Manfredi MG, Vivirito MC, Milazzo F, Lipton JM, Zanussi C. Proopiomelanocortin-derived peptides and cytokines: relations in patients with acquired immunodeficiency syndrome. Clinical Immunology and Immunopathology 66: 73-79, 1993.
W	CZ	Ceriani G, Diaz J, Murphree S, Catania A, Lipton JM. The neuropeptide alpha-melanocyte-stimulating hormone inhibits experimental arthritis in rats. Neuroimmunomodulation 1:28-32, 1994.

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DEC 26 2001

ATTY. DOC. NO.

256/019US

SERIAL NO.

09/704,327

APPLICANT:

James Lipton

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W	DA	Chiao H, Foster S, Thomas R, Lipton JM, and Starck A. $\alpha$ -MSH reduces endotoxin-induced liver inflammation. Clin. Invest. 97: 2038-2044, 1996.
W	DB	Fitzpatrick, et al., "Color Atlas and Synopsis of Clinical Dermatology," (1983)
W	DC	Galimberti D, Baron PL, Meda L, Prat E, Scarpini E, Delgado R, Catania A, Lipton JM, Scarlato G. $\alpha$ -MSH peptides inhibit production of nitric oxide and tumor necrosis factor- $\alpha$ by microglial cells activated with $\beta$ -amyloid and interferon $\gamma$ . Biochemical Biophysical Research Communications 263: 251-256, 1999.
W	DD	"Harry's Comseticology", Chemical Publishing, 7 <sup>th</sup> ed. (1982)
W	DE	Huh S-K, Lipton JM and Batjer HH. The protective effects of $\alpha$ -melanocyte stimulating hormone on canine brainstem ischemia. Neurosurgery 40:132-139, 1997.
W	DF	Ichiyama T, Sakai T, Catania A, Barsh GS, Furukawa S, Lipton JM. Systemically administered $\alpha$ -melanocyte-stimulating hormone peptides inhibit NF- $\kappa$ B activation in experimental brain inflammation. Brain Research 836: 31-37, 1999.
W	DG	Ichiyama T, Zhao H, Catania A, Furukawa S, Lipton JM. $\alpha$ -melanocyte-stimulating hormone inhibits NF- $\kappa$ B activation and I $\alpha$ B $\kappa$ degradation in human glioma cells and in experimental brain inflammation. Experimental Neurology 157:359-365, 1999.
W	DH	Ichiyama T, Campbell IL, Furukawa S, Catania A, Lipton JM. Autocrine $\alpha$ -melanocyte-stimulating hormone inhibits NF- $\kappa$ B activation in human glioma cells. Journal of Neuroscience Research 58:684-689, 1999.
W	DI	Ichiyama T, Okada K, Campbell IL, Furukawa S, Lipton JM. NF- $\kappa$ B activation is inhibited in human pulmonary epithelial cells transfected with $\alpha$ -melanocyte-stimulating hormone vector. Peptides 21: 1473-1477, 2000.
W	DJ	Ichiyama T, Sakai T, Catania A, Barsh GS, Furukawa S, Lipton JM. Inhibition of peripheral NF- $\kappa$ B activation by central action of $\alpha$ -melanocyte-stimulating hormone. Journal of Neuroimmunology 99: 211-217, 1999.
W	DK	Lipton JM, Catania A, Ichiyama T. Marshalling the anti-inflammatory influence of the neuroimmunomodulator $\alpha$ -MSH. News Physiol. Sci, 15: 192-195, 2000.
W	DL	Lipton JM, Catania A. The neuropeptide $\alpha$ -MSH: a modulator of host reactions. Seminars in Clinical Immunology 10: 25-29, 1995.
W	DM	Lipton JM, The Neuropeptide Alpha-Melanocyte-Stimulating Hormone Inhibits Experimental Arthritis in Rats, 1:28-32 (1994)
W	DN	Rajora N, Boccoli G, Catania A and Lipton JM. $\alpha$ -MSH modulates experimental inflammatory bowel disease. Peptides 18:381-385, 1997.
W	DO	Remington's Pharmaceutical Sciences, Mack Publishing Co., 18 <sup>th</sup> ed. (1990)
W	DP	Ryan, et al., "Inflammation," a Scope Publication, The Upjohn Company, (1977)
W	DQ	Taherzadeh S, Sharma S, Chhajlani V, Gantz I, Rajora N, Demetri MT, Kelly L, Zhao H, Catania A, Lipton JM. $\alpha$ -MSH and its receptors in regulation of tumor necrosis factor- $\alpha$ production by human monocyte/macrophages. Am. J. Physiol. 276: R1289-R1294, 1999.
W	DR	Watanabe T, Hiltz ME, Catania A, Lipton JM. Inhibition of IL-1 $\beta$ -induced peripheral inflammation by peripheral and central administration of analogs of the neuropeptide $\alpha$ -MSH. Brain Research Bulletin 32: 311-314, 1993.
W	DS	"Vaginitis," National Institute of Child Health and Human Development - Publications On-line (last modified January 12, 2000). < <a href="http://www.nichd.nih.gov/publications/pubs/vagtoc.html">www.nichd.nih.gov/publications/pubs/vagtoc.html</a> >
W	DT	"Tampons and Asbestos, Dioxins, & Toxic Shock Syndrome," FDA Center for Devices and Radiological Health (July 23, 1999), < <a href="http://www.fda.gov/cdrh/ocd/tamponsabs.html">http://www.fda.gov/cdrh/ocd/tamponsabs.html</a> >

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W	DU	Khurshid, M.A., et. al., :Staphylococcus aureus with Reduced Susceptibility to Vancomycin -- Illinois, 1999," <i>Morbidity and Mortality Weekly Report</i> , 48(51): 1165-1167 (2000), < <a href="http://www.cdc.gov/epo/mmwr/preview/mmwrhtml/mm4851a1.htm">http://www.cdc.gov/epo/mmwr/preview/mmwrhtml/mm4851a1.htm</a> >.
W	DV	"Women's Health, Urinary Tract Infections: A Patient's Guide to Treatment," <i>AMA Health Insight, On-Line Health Information for Everyone</i> (last updated October 30, 1998) < <a href="http://www.ama-assn.org/insight/h_focus/wom_hlth/uti/uti.htm">http://www.ama-assn.org/insight/h_focus/wom_hlth/uti/uti.htm</a> >.

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